

# Oscillations of the mixed pseudo-Dirac neutrinos\*

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## Abstract

Oscillations of three pseudo-Dirac flavor neutrinos  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$  are considered:  $0 < m^{(L)} = m^{(R)} \ll m^{(D)}$  for their Majorana and Dirac masses taken as universal before family mixing. The actual neutrino mass matrix is assumed to be the tensor product  $M^{(\nu)} \otimes \begin{pmatrix} \lambda^{(L)} & 1 \\ 1 & \lambda^{(R)} \end{pmatrix}$ , where  $M^{(\nu)}$  is a neutrino family mass matrix ( $M^{(\nu)\dagger} = M^{(\nu)}$ ) and  $\lambda^{(L,R)} = m^{(L,R)}/m^{(D)}$ . The  $M^{(\nu)}$  is tried in a form proposed previously for charged leptons  $e$ ,  $\mu$ ,  $\tau$  for which it gives  $m_\tau = 1776.80$  MeV *versus*  $m_\tau^{\text{exp}} = 1777.05^{+0.29}_{-0.20}$  MeV (with the experimental values of  $m_e$  and  $m_\mu$  used as inputs). However, in contrast to the charged-lepton case, in the neutrino case its off-diagonal entries dominate over diagonal. Then, it is shown that three neutrino effects (the deficits of solar  $\nu_e$ 's and atmospheric  $\nu_\mu$ 's as well as the possible LSND excess of  $\nu_e$ 's in accelerator  $\nu_\mu$  beam) can be explained by neutrino oscillations though, alternatively, the LSND effect may be eliminated (by a parameter choice). Atmospheric  $\nu_\mu$ 's oscillate dominantly into  $\nu_\tau$ 's, while solar  $\nu_e$ 's — into (automatically existing) Majorana sterile counterparts of  $\nu_e$ 's.

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Let us consider three flavor neutrinos  $\nu_e, \nu_\mu, \nu_\tau$  and assume for them the mass matrix in the form of tensor product of the neutrino family  $3 \times 3$  mass matrix  $(M_{\alpha\beta}^{(\nu)})$  ( $\alpha, \beta = e, \mu, \tau$ ) and the Majorana  $2 \times 2$  mass matrix

$$\begin{pmatrix} m^{(L)} & m^{(D)} \\ m^{(D)} & m^{(R)} \end{pmatrix}, \quad (1)$$

the second divided by  $m^{(D)}$  (with  $m^{(D)}$  included into  $M_{\alpha\beta}^{(\nu)}$ ). Then, the neutrino mass term in the lagrangian gets the form

$$\begin{aligned} -\mathcal{L}_{\text{mass}} &= \frac{1}{2} \sum_{\alpha\beta} \left( \overline{\nu_\alpha^{(a)}} , \overline{\nu_\alpha^{(s)}} \right) M_{\alpha\beta}^{(\nu)} \begin{pmatrix} \lambda^{(L)} & 1 \\ 1 & \lambda^{(R)} \end{pmatrix} \begin{pmatrix} \nu_\beta^{(a)} \\ \nu_\beta^{(s)} \end{pmatrix} \\ &= \frac{1}{2} \sum_{\alpha\beta} \left( \overline{(\nu_{\alpha L}^{(a)})^c} , \overline{\nu_{\alpha R}^{(s)}} \right) M_{\alpha\beta}^{(\nu)} \begin{pmatrix} \lambda^{(L)} & 1 \\ 1 & \lambda^{(R)} \end{pmatrix} \begin{pmatrix} \nu_{\beta L}^{(a)} \\ (\nu_{\beta R}^{(s)})^c \end{pmatrix} + \text{h.c.}, \quad (2) \end{aligned}$$

where

$$\nu_\alpha^{(a)} \equiv \nu_{\alpha L}^{(a)} + (\nu_{\alpha L}^{(a)})^c, \quad \nu_\alpha^{(s)} \equiv \nu_{\alpha R}^{(s)} + (\nu_{\alpha R}^{(s)})^c \quad (3)$$

and  $\lambda^{(L,R)} \equiv m^{(L,R)}/m^{(D)}$ . Here,  $\nu_\alpha^{(a)}$  and  $\nu_\alpha^{(s)}$  are the conventional Majorana active and sterile neutrinos of three families as they appear in the lagrangian before diagonalization of neutrino and charged-lepton family mass matrices. Due to the relation  $\overline{\nu_\alpha^c} \nu_\beta = \overline{\nu_\beta^c} \nu_\alpha$ , the family mass matrix  $M^{(\nu)} = M^{(\nu)\dagger}$ , when standing at the position of  $\lambda^{(L)}$  and  $\lambda^{(R)}$  in Eq. (2), reduces to its symmetric part  $\frac{1}{2}(M^{(\nu)} + M^{(\nu)T})$  equal to its real part  $\frac{1}{2}(M^{(\nu)} + M^{(\nu)*}) = \text{Re } M^{(\nu)}$ . We will simply assume that (at least approximately)  $M^{(\nu)} = M^{(\nu)T} = M^{(\nu)*}$ , and hence  $U^{(\nu)} = U^{(\nu)*} = (U^{(\nu)-1})^T$ . Then, CP violation for neutrinos does not appear if, in addition,  $U^{(e)} = U^{(e)*}$ . Further on, we will always assume that  $0 < \lambda^{(L)} = \lambda^{(R)} (\equiv \lambda^{(M)})$  and  $\lambda^{(M)} \ll 1$  (the pseudo-Dirac case) [1].

Then, diagonalizing the neutrino mass matrix, we obtain from Eq. (2)

$$-\mathcal{L}_{\text{mass}} = \frac{1}{2} \sum_i (\overline{\nu_i^I}, \overline{\nu_i^{II}}) m_{\nu_i} \begin{pmatrix} \lambda^I & 0 \\ 0 & \lambda^{II} \end{pmatrix} \begin{pmatrix} \nu_i^I \\ \nu_i^{II} \end{pmatrix}, \quad (4)$$

where

$$\left(U^{(\nu)\dagger}\right)_{i\alpha} M_{\alpha\beta}^{(\nu)} U_{\beta j}^{(\nu)} = m_{\nu_i} \delta_{ij} \quad , \quad \lambda^{I,II} = \mp 1 + \lambda^{(M)} \simeq \mp 1 \quad (5)$$

( $i, j = 1, 2, 3$ ) and

$$\nu_i^{I,II} = \sum_i \left(U^{(\nu)\dagger}\right)_{i\alpha} \frac{1}{\sqrt{2}} \left(\overset{\circ}{\nu}_\alpha^{(a)} \mp \overset{\circ}{\nu}_\alpha^{(s)}\right) = \sum_i V_{i\alpha} \frac{1}{\sqrt{2}} \left(\nu_\alpha^{(a)} \mp \nu_\alpha^{(s)}\right) \quad (6)$$

with  $V_{i\alpha} = \left(U^{(\nu)\dagger}\right)_{i\beta} U_{\beta\alpha}^{(e)}$  describing the lepton counterpart of the Cabibbo—Kobayashi—Maskawa matrix. Here,

$$\nu_\alpha^{(a,s)} \equiv \sum_\beta \left(U^{(e)\dagger}\right)_{\alpha\beta} \overset{\circ}{\nu}_\beta^{(a,s)} = \sum_i \left(V^\dagger\right)_{\alpha i} \frac{1}{\sqrt{2}} \left(\pm \nu_i^I + \nu_i^{II}\right) = \nu_{\alpha L,R} + (\nu_{\alpha L,R})^c \quad (7)$$

and

$$\left(U^{(e)\dagger}\right)_{\alpha\gamma} M_{\gamma\delta}^{(e)} U_{\delta\beta}^{(e)} = m_{e_\alpha} \delta_{\alpha\beta} \quad , \quad (8)$$

where  $\left(M_{\alpha\beta}^{(e)}\right)$  ( $\alpha, \beta = e, \mu, \tau$ ) is the mass matrix for three charged leptons  $e^-, \mu^-, \tau^-$ , giving their masses  $m_e, m_\mu, m_\tau$  after its diagonalization is carried out. Now,  $\nu_\alpha^{(a)}$  and  $\nu_\alpha^{(s)}$  are the conventional Majorana active and sterile flavor neutrinos of three families, while  $\nu_i^I$  and  $\nu_i^{II}$  are Majorana massive neutrinos.

If CP violation for neutrinos does not appear or can be neglected, the probabilities for oscillations  $\nu_\alpha^{(a)} \rightarrow \nu_\beta^{(a)}$  and  $\nu_\alpha^{(a)} \rightarrow \nu_\beta^{(s)}$  are given by the following formulae (in the pseudo—Dirac case):

$$\begin{aligned} P\left(\nu_\alpha^{(a)} \rightarrow \nu_\beta^{(a)}\right) &= |\langle \nu_\beta^{(a)} | e^{iPL} | \nu_\alpha^{(a)} \rangle|^2 = \delta_{\beta\alpha} - \sum_i |V_{i\beta}|^2 |V_{i\alpha}|^2 \sin^2(x_i^{II} - x_i^I) \\ &\quad - \sum_{j>i} V_{j\beta} V_{j\alpha}^* V_{i\beta}^* V_{i\alpha} \left[ \sin^2(x_j^I - x_i^I) + \sin^2(x_j^{II} - x_i^{II}) + \sin^2(x_j^{II} - x_i^I) + \sin^2(x_j^I - x_i^{II}) \right] \end{aligned} \quad (9)$$

and

$$\begin{aligned} P\left(\nu_\alpha^{(a)} \rightarrow \nu_\beta^{(s)}\right) &= |\langle \nu_\beta^{(s)} | e^{iPL} | \nu_\alpha^{(a)} \rangle|^2 = \sum_i |V_{i\beta}|^2 |V_{i\alpha}|^2 \sin^2(x_i^{II} - x_i^I) \\ &\quad - \sum_{j>i} V_{j\beta} V_{j\alpha}^* V_{i\beta}^* V_{i\alpha} \left[ \sin^2(x_j^I - x_i^I) + \sin^2(x_j^{II} - x_i^{II}) - \sin^2(x_j^{II} - x_i^I) - \sin^2(x_j^I - x_i^{II}) \right] , \end{aligned} \quad (10)$$

where  $P|\nu_i^{I,II}\rangle = p_i^{I,II}|\nu_i^{I,II}\rangle$ ,  $p_i^{I,II} = \sqrt{E^2 - (m_{\nu_i}\lambda^{I,II})^2} \simeq E - (m_{\nu_i}\lambda^{I,II})^2/2E$  and

$$x_i^{I,II} = 1.27 \frac{(m_{\nu_i}^2 \lambda^{I,II})^2 L}{E}, \quad (\lambda^{I,II})^2 = 1 \mp 2\lambda^{(M)} \simeq 1 \quad (11)$$

with  $m_{\nu_i}$ ,  $L$  and  $E$  expressed in eV, km and GeV, respectively ( $L$  is the experimental baseline). Here, due to Eqs. (11),

$$x_i^{II} - x_i^I = 1.27 \frac{4m_{\nu_i}^2 \lambda^{(M)} L}{E} \quad (12)$$

and for  $j > i$

$$x_j^I - x_i^I \simeq x_j^{II} - x_i^{II} \simeq x_j^I - x_i^I \simeq x_j^I - x_i^{II} \simeq 1.27 \frac{(m_{\nu_j}^2 - m_{\nu_i}^2)L}{E}. \quad (13)$$

Then, the bracket  $[ ]$  in Eq. (9) and (10) is reduced to  $4 \sin^2 1.27(m_{\nu_j}^2 - m_{\nu_i}^2)L/E$  and 0, respectively. The probability sum rule  $\sum_{\beta} [P(\nu_{\alpha}^{(a)} \rightarrow \nu_{\beta}^{(a)}) + P(\nu_{\alpha}^{(a)} \rightarrow \nu_{\beta}^{(s)})] = 1$  follows readily from Eqs. (9) and (10).

Notice that in the case of lepton Cabibbo—Kobayashi—Maskawa matrix being nearly unit,  $(V_{i\alpha}) \simeq (\delta_{i\alpha})$ , the oscillations  $\nu_{\alpha}^{(a)} \rightarrow \nu_{\beta}^{(a)}$  and  $\nu_{\alpha}^{(a)} \rightarrow \nu_{\beta}^{(s)}$  are essentially described by the formulae

$$\begin{aligned} P(\nu_{\alpha}^{(a)} \rightarrow \nu_{\beta}^{(a)}) &\simeq \delta_{\beta\alpha} - P(\nu_{\alpha}^{(a)} \rightarrow \nu_{\beta}^{(s)}), \\ P(\nu_{\alpha}^{(a)} \rightarrow \nu_{\beta}^{(s)}) &\simeq \delta_{\beta\alpha} \sin^2 \left( 1.27 \frac{4m_{\nu_{\alpha}}^2 \lambda^{(M)} L}{E} \right) \end{aligned} \quad (14)$$

corresponding to three maximal mixings of  $\nu_{\alpha}^{(a)}$  with  $\nu_{\alpha}^{(s)}$  ( $\alpha = e, \mu, \tau$ ). Of course, for a further discussion of the oscillation formulae (9) and (10), in particular those for appearance modes  $\nu_{\alpha}^{(a)} \rightarrow \nu_{\beta}^{(a)}$  ( $\alpha \neq \beta$ ), a detailed knowledge of  $(V_{i\alpha})$  is necessary.

To this end, we will try to extend to neutrinos the form of charged-lepton mass matrix

$$(M_{\alpha\beta}^{(e)}) = \frac{1}{29} \begin{pmatrix} \mu^{(e)} \varepsilon^{(e)} & 2\alpha^{(e)} e^{i\varphi^{(e)}} & 0 \\ 2\alpha^{(e)} e^{-i\varphi^{(e)}} & 4\mu^{(e)}(80 + \varepsilon^{(e)})/9 & 8\sqrt{3} \alpha^{(e)} e^{i\varphi^{(e)}} \\ 0 & 8\sqrt{3} \alpha^{(e)} e^{-i\varphi^{(e)}} & 24\mu^{(e)}(624 + \varepsilon^{(e)})/25 \end{pmatrix} \quad (15)$$

which reproduces surprisingly well the charged-lepton masses  $m_e$ ,  $m_\mu$ ,  $m_\tau$  ( $\mu^{(e)}$ ,  $\alpha^{(e)}$  and  $\varepsilon^{(e)}$  are positive parameters). In fact, treating off-diagonal elements of  $(M_{\alpha\beta}^{(e)})$  as a perturbation of its diagonal entries, we get the mass sum rule

$$\begin{aligned} m_\tau &= \frac{6}{125} (351m_\mu - 136m_e) + 10.2112 \left( \frac{\alpha^{(e)}}{\mu^{(e)}} \right)^2 \text{ MeV} \\ &= \left[ 1776.80 + 10.2112 \left( \frac{\alpha^{(e)}}{\mu^{(e)}} \right)^2 \right] \text{ MeV} , \end{aligned} \quad (16)$$

where the experimental values of  $m_e$  and  $m_\mu$  are used as inputs. Then,  $\mu^{(e)} = 85.9924$  MeV and  $\varepsilon^{(e)} = 0.172329$  (up to the perturbation). The prediction (16) agrees very well with the experimental figure  $m_\tau^{\text{exp}} = 1777.05_{-0.20}^{+0.29}$  MeV, even in the zero order in  $(\alpha^{(e)}/\mu^{(e)})^2$ . Taking this experimental value of  $m_\tau$  as another input, we obtain

$$\left( \frac{\alpha^{(e)}}{\mu^{(e)}} \right)^2 = 0.024_{-0.025}^{+0.028} , \quad (17)$$

what is not inconsistent with zero.

Now, we conjecture the neutrino family mass matrix  $(M_{\alpha\beta}^{(\nu)})$  in the form (15) with  $\mu^{(e)} \rightarrow \mu^{(\nu)}$ ,  $\alpha^{(e)} \rightarrow \alpha^{(\nu)}$ ,  $\varepsilon^{(e)} \rightarrow \varepsilon^{(\nu)} \simeq 0$  and  $\varphi^{(e)} \rightarrow \varphi^{(\nu)} = 0$  [2]. In order to get the neutrino family diagonalizing matrix  $(U_{\alpha i}^{(\nu)})$  rather different from the unit matrix  $(\delta_{\alpha i}^{(\nu)})$ , we assume that diagonal elements of  $(M_{\alpha\beta}^{(\nu)})$  can be considered as a perturbation of its off-diagonal entries (though the diagonal as well as the off-diagonal elements are expected to be very small). Under this assumption we derive the unitary matrix  $(U_{\alpha i}^{(\nu)})$  of the following form :

$$(U_{\alpha i}^{(\nu)}) = \begin{pmatrix} \frac{\sqrt{48}}{7} & -\frac{1}{7\sqrt{2}}e^{i\varphi^{(\nu)}} & \frac{1}{7\sqrt{2}}e^{2i\varphi^{(\nu)}} \\ 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}}e^{i\varphi^{(\nu)}} \\ -\frac{1}{7}e^{-2i\varphi^{(\nu)}} & -\frac{\sqrt{48}}{7\sqrt{2}}e^{-i\varphi^{(\nu)}} & \frac{\sqrt{48}}{7\sqrt{2}} \end{pmatrix} + O(\xi/7) \quad (18)$$

with  $\varphi^{(\nu)} = 0$  and

$$\xi \equiv \frac{M_{33}^{(\nu)}}{|M_{12}^{(\nu)}|} = 299.52 \frac{\mu^{(\nu)}}{\alpha^{(\nu)}} , \quad \chi \equiv \frac{M_{22}^{(\nu)}}{|M_{12}^{(\nu)}|} = \frac{\xi}{16.848} . \quad (19)$$

In this case, the neutrino family masses are

$$m_{\nu_1} = \frac{\xi}{49} |M_{12}^{(\nu)}|, \quad m_{\nu_2, \nu_3} = \left[ \mp 7 + \frac{1}{2} \left( \frac{48}{49} \xi + \chi \right) \right] |M_{12}^{(\nu)}|, \quad (20)$$

where  $|M_{12}^{(\nu)}| = 2\alpha^{(\nu)}/29$  (thus,  $m_{\nu_1} \ll |m_{\nu_2}| < m_{\nu_3}$ ). Hence,

$$m_{\nu_3}^2 - m_{\nu_2}^2 = 14 \left( \frac{48}{49} \xi + \chi \right) |M_{12}^{(\nu)}|^2 = 20.721 \alpha^{(\nu)} \mu^{(\nu)}. \quad (21)$$

Taking in contrast  $(U_{\alpha\beta}^{(e)}) \simeq (\delta_{\alpha\beta})$  — as in  $(M_{\alpha\beta}^{(e)})$  the off-diagonal elements are perturbatively small *versus* diagonal entries [*cf.* Eq. (17)] — we can insert

$$V_{i\alpha} \simeq (U^{(\nu)\dagger})_{i\alpha} = U_{\alpha i}^{(\nu)*} \quad (22)$$

into Eqs. (9) and (10). Here,  $U_{\alpha i}^{(\nu)*}$  are determined from Eq. (18).

Then, with the use of Eqs. (12) and (13) the  $\nu_\alpha^{(a)} \rightarrow \nu_\beta^{(a)}$  oscillation formulae (9) take the form

$$\begin{aligned} P(\nu_e^{(a)} \rightarrow \nu_e^{(a)}) &= 1 - \frac{48^2}{49^2} \sin^2 \left( 1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L}{E} \right) \\ &\quad - \frac{1}{4 \cdot 49^2} \left[ \sin^2 \left( 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L}{E} \right) + \sin^2 \left( 1.27 \frac{4m_{\nu_3}^2 \lambda^{(M)} L}{E} \right) \right] \\ &\quad - \frac{96}{49^2} \left[ \sin^2 \left( 1.27 \frac{(m_{\nu_2}^2 - m_{\nu_1}^2) L}{E} \right) + \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_1}^2) L}{E} \right) \right] \\ &\quad - \frac{1}{49^2} \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L}{E} \right), \\ P(\nu_\mu^{(a)} \rightarrow \nu_\mu^{(a)}) &= 1 - \frac{1}{4} \left[ \sin^2 \left( 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L}{E} \right) + \sin^2 \left( 1.27 \frac{4m_{\nu_3}^2 \lambda^{(M)} L}{E} \right) \right] \\ &\quad - \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L}{E} \right), \\ P(\nu_\mu^{(a)} \rightarrow \nu_e^{(a)}) &= -\frac{1}{4 \cdot 49} \left[ \sin^2 \left( 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L}{E} \right) + \sin^2 \left( 1.27 \frac{4m_{\nu_3}^2 \lambda^{(M)} L}{E} \right) \right] \\ &\quad + \frac{1}{49} \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L}{E} \right) \end{aligned} \quad (23)$$

and

$$\begin{aligned}
P(\nu_\mu^{(a)} \rightarrow \nu_\tau^{(a)}) &= -\frac{48}{4 \cdot 49} \left[ \sin^2 \left( 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L}{E} \right) + \sin^2 \left( 1.27 \frac{4m_{\nu_3}^2 \lambda^{(M)} L}{E} \right) \right] \\
&\quad + \frac{48}{49} \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L}{E} \right) , \\
P(\nu_e^{(a)} \rightarrow \nu_\tau^{(a)}) &= -\frac{48}{49^2} \sin^2 \left( 1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L}{E} \right) \\
&\quad - \frac{48}{4 \cdot 49^2} \left[ \sin^2 \left( 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L}{E} \right) + \sin^2 \left( 1.27 \frac{4m_{\nu_3}^2 \lambda^{(M)} L}{E} \right) \right] \\
&\quad + \frac{96}{49^2} \left[ \sin^2 \left( 1.27 \frac{(m_{\nu_2}^2 - m_{\nu_1}^2) L}{E} \right) + \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_1}^2) L}{E} \right) \right] \\
&\quad - \frac{48}{49^2} \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L}{E} \right) , \\
P(\nu_\tau^{(a)} \rightarrow \nu_\tau^{(a)}) &= 1 - \frac{1}{49^2} \sin^2 \left( 1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L}{E} \right) \\
&\quad - \frac{48^2}{4 \cdot 49^2} \left[ \sin^2 \left( 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L}{E} \right) + \sin^2 \left( 1.27 \frac{4m_{\nu_3}^2 \lambda^{(M)} L}{E} \right) \right] \\
&\quad - \frac{96}{49^2} \left[ \sin^2 \left( 1.27 \frac{(m_{\nu_2}^2 - m_{\nu_1}^2) L}{E} \right) + \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_1}^2) L}{E} \right) \right] \\
&\quad - \frac{48^2}{49^2} \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L}{E} \right) . \tag{24}
\end{aligned}$$

In these formulae, the experimental baselines  $L$  (and neutrino energies  $E$ ) are generally different.

Further on, we intend to relate the first, second and third Eq. (23) to the experimental results concerning the deficit of solar  $\nu_e$ 's [3], the deficit of atmospheric  $\nu_\mu$ 's [4] and the excess of  $\nu_e$ 's in accelerator  $\nu_\mu$  beam [5], respectively.

First, let us assume the simplifying hypothesis that the LSND effect [5] does not exist. Then, under the numerical conjecture that

$$\begin{aligned}
1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L_{\text{sol}}}{E_{\text{sol}}} &= O(1) \quad , \quad 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L_{\text{atm}}}{E_{\text{atm}}} = O \left( \frac{m_{\nu_2}^2 L_{\text{atm}} / E_{\text{atm}}}{m_{\nu_1}^2 L_{\text{sol}} / E_{\text{sol}}} \right) \ll 1 , \\
1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L_{\text{atm}}}{E_{\text{atm}}} &= O(1) \quad , \quad 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L_{\text{sol}}}{E_{\text{sol}}} = O \left( \frac{L_{\text{sol}} / E_{\text{sol}}}{L_{\text{atm}} / E_{\text{atm}}} \right) \gg 1 , \tag{25}
\end{aligned}$$

we obtain from Eqs. (23)

$$\begin{aligned}
P\left(\nu_e^{(a)} \rightarrow \nu_e^{(a)}\right) &\simeq 1 - \frac{48^2}{49^2} \sin^2 \left( 1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L_{\text{sol}}}{E_{\text{sol}}} \right) - \frac{387}{4 \cdot 49^2} \\
&\simeq 1 - \frac{48^2}{49^2} \sin^2 \left( 1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L_{\text{sol}}}{E_{\text{sol}}} \right) , \\
P\left(\nu_\mu^{(a)} \rightarrow \nu_\mu^{(a)}\right) &\simeq 1 - \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L_{\text{atm}}}{E_{\text{atm}}} \right) - 8(1.27)^2 \frac{m_{\nu_2}^4 \lambda^{(M)2} L_{\text{atm}}^2}{E_{\text{atm}}^2} \\
&\simeq 1 - \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L_{\text{atm}}}{E_{\text{atm}}} \right) , \\
P\left(\nu_\mu^{(a)} \rightarrow \nu_e^{(a)}\right) &\simeq -\frac{8}{49} (1.27)^2 \frac{m_{\nu_2}^4 \lambda^{(M)2} L_{\text{LSND}}^2}{E_{\text{LSND}}^2} + \frac{1}{49} (1.27)^2 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2)^2 L_{\text{LSND}}^2}{E_{\text{LSND}}^2} \\
&\simeq 0 .
\end{aligned} \tag{26}$$

The term  $-387/4 \cdot 49^2 = -0.0403$  in the first Eq. (26) comes out from averaging all  $\sin^2$  of large phases over oscillation lengths defined by  $\sin^2$  of a phase  $= O(1)$  (then, each  $\sin^2$  of a large phase gives  $1/2$ ).

Comparing Eqs. (26) with experimental estimates, we get for solar  $\nu_e$ 's [3] (using the global vacuum fit)

$$\frac{48^2}{49^2} \leftrightarrow \sin^2 2\theta_{\text{sol}} \sim 0.75 , \quad 4m_{\nu_1}^2 \lambda^{(M)} \leftrightarrow \Delta m_{\text{sol}}^2 \sim 6.5 \times 10^{-11} \text{ eV}^2 , \tag{27}$$

and for atmospheric  $\nu_\mu$ 's [4]

$$1 \leftrightarrow \sin^2 2\theta_{\text{atm}} \sim 1 , \quad m_{\nu_3}^2 - m_{\nu_2}^2 \leftrightarrow \Delta m_{\text{atm}}^2 \sim 2.2 \times 10^{-3} \text{ eV}^2 . \tag{28}$$

Thus, from Eqs. (27) and (28)

$$\frac{4m_{\nu_1}^2 \lambda^{(M)}}{m_{\nu_3}^2 - m_{\nu_2}^2} \leftrightarrow \frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{atm}}^2} \sim 3.0 \times 10^{-8} . \tag{29}$$

Hence, making use of Eqs. (20) and (21), we infer that

$$\begin{aligned}
\xi \lambda^{(M)} &\sim 2.6 \times 10^{-4} , \quad \frac{m_{\nu_1}}{|m_{\nu_2}|} \lambda^{(M)} = \frac{1}{7^3} \xi \lambda^{(M)} \sim 7.5 \times 10^{-7} , \\
\frac{\mu^{(\nu)}}{\alpha^{(\nu)}} \lambda^{(M)} &= \frac{1}{299.52} \xi \lambda^{(M)} \sim 8.6 \times 10^{-7} , \quad \alpha^{(\nu)} \mu^{(\nu)} = \frac{m_{\nu_3}^2 - m_{\nu_2}^2}{20.721} \sim 1.1 \times 10^{-4} \text{ eV}^2 , \\
\mu^{(\nu)2} \lambda^{(M)} &\sim 9.1 \times 10^{-11} \text{ eV}^2 , \quad \frac{\alpha^{(\nu)2}}{\lambda^{(M)}} \sim 1.2 \times 10^2 \text{ eV}^2 .
\end{aligned} \tag{30}$$



Here, the constant  $\xi$  still may be treated as a free parameter (determining  $\lambda^{(M)}$ ). If  $\xi = O(10^{-1})$ , then  $\lambda^{(M)} = O(10^{-3})$ ,  $m_{\nu_1}/|m_{\nu_2}| = O(10^{-4})$ ,  $\mu^{(\nu)}/\alpha^{(\nu)} = O(10^{-4})$ ,  $\mu^{(\nu)} = O(10^{-4} \text{ eV})$ ,  $\alpha^{(\nu)} = O(1 \text{ eV})$  and

$$m_{\nu_1} = O(10^{-4} \text{ eV}) \quad , \quad |m_{\nu_2}| = O(10^{-1} \text{ eV}) \quad , \quad m_{\nu_3} = O(10^{-1} \text{ eV}) \quad (31)$$

with  $m_{\nu_3}^2 - m_{\nu_2}^2 \sim 2.2 \times 10^{-3} \text{ eV}^2$ .

In this way, both neutrino deficits can be explained by pseudo-Dirac neutrino oscillations. Note that solar  $\nu_e^{(a)}$ 's and atmospheric  $\nu_\mu^{(a)}$ 's oscillate dominantly into  $\nu_e^{(s)}$ 's and  $\nu_\tau^{(a)}$ 's, respectively (here,  $\nu_\alpha^{(a)} = \nu_{\alpha L}$ ,  $\nu_\alpha^{(s)} = (\nu_\alpha^c)_L$ ).

Now, let us accept the LSND effect [5]. Then, making the numerical conjecture that

$$\begin{aligned} 1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L_{\text{sol}}}{E_{\text{sol}}} &= O(1) \quad , \quad 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L_{\text{atm}}}{E_{\text{atm}}} = O\left(\frac{m_{\nu_2}^2 L_{\text{atm}}/E_{\text{atm}}}{m_{\nu_1}^2 L_{\text{sol}}/E_{\text{sol}}}\right) < 1 \quad , \\ 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L_{\text{LSND}}}{E_{\text{LSND}}} &= O(1) \quad , \quad 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L_{\text{atm}}}{E_{\text{atm}}} = O\left(\frac{L_{\text{atm}}/E_{\text{atm}}}{L_{\text{LSND}}/E_{\text{LSND}}}\right) \gg 1 \quad , \end{aligned} \quad (32)$$

we get from Eqs. (23)

$$\begin{aligned} P(\nu_e^{(a)} \rightarrow \nu_e^{(a)}) &\simeq 1 - \frac{48^2}{49^2} \sin^2 \left( 1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L_{\text{sol}}}{E_{\text{sol}}} \right) - \frac{387}{4 \cdot 49^2} \\ &\simeq 1 - \frac{48^2}{49^2} \sin^2 \left( 1.27 \frac{4m_{\nu_1}^2 \lambda^{(M)} L_{\text{sol}}}{E_{\text{sol}}} \right) \quad , \\ P(\nu_\mu^{(a)} \rightarrow \nu_\mu^{(a)}) &\simeq 1 - \frac{1}{2} - \frac{1}{2} \sin^2 \left( 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L_{\text{atm}}}{E_{\text{atm}}} \right) \quad , \\ P(\nu_\mu^{(a)} \rightarrow \nu_e^{(a)}) &\simeq \frac{1}{49} \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L_{\text{LSND}}}{E_{\text{LSND}}} \right) - \frac{8}{49} (1.27)^2 \frac{m_{\nu_2}^4 \lambda^{(M)2} L_{\text{LSND}}^2}{E_{\text{LSND}}^2} \\ &\simeq \frac{1}{49} \sin^2 \left( 1.27 \frac{(m_{\nu_3}^2 - m_{\nu_2}^2) L_{\text{LSND}}}{E_{\text{LSND}}} \right) \quad . \end{aligned} \quad (33)$$

When comparing Eqs. (33) with experimental estimates, we obtain for solar  $\nu_e$ 's [3] (making use of global vacuum fit)

$$\frac{48^2}{49^2} \leftrightarrow \sin^2 2\theta_{\text{sol}} \sim 0.75 \quad , \quad 4m_{\nu_1}^2 \lambda^{(M)} \leftrightarrow \Delta m_{\text{sol}}^2 \sim 6.5 \times 10^{-11} \text{ eV}^2 \quad , \quad (34)$$

for atmospheric  $\nu_\mu$ 's [4]

$$1 \leftrightarrow \sin^2 2\theta_{\text{atm}} \sim 1 \quad , \quad \frac{1}{2} + \frac{1}{2} \sin^2 \left( 1.27 \frac{4m_{\nu_2}^2 \lambda^{(M)} L_{\text{atm}}}{E_{\text{atm}}} \right) \leftrightarrow \sin^2 \left( 1.27 \frac{\Delta m_{\text{atm}}^2 L_{\text{atm}}}{E_{\text{atm}}} \right) \quad (35)$$

with

$$\Delta m_{\text{atm}}^2 \sim 2.2 \times 10^{-3} \text{ eV}^2 \quad , \quad (36)$$

and for accelerator  $\nu_\mu$ 's [5], say,

$$\frac{1}{49} \leftrightarrow \sin^2 2\theta_{\text{LSND}} \sim 0.02 \quad , \quad m_{\nu_3}^2 - m_{\nu_2}^2 \leftrightarrow \Delta m_{\text{LSND}}^2 \sim 0.5 \text{ eV}^2 \quad . \quad (37)$$

So, from Eqs. (34) and (37)

$$\frac{4m_{\nu_1}^2 \lambda^{(M)}}{m_{\nu_3}^2 - m_{\nu_2}^2} \leftrightarrow \frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{LSND}}^2} \sim 1.3 \times 10^{-10} \quad . \quad (38)$$

Hence, due to Eqs. (20) and (21),

$$\begin{aligned} \xi \lambda^{(M)} &\sim 1.1 \times 10^{-6} \quad , \quad \frac{m_{\nu_1}}{|m_{\nu_2}|} \lambda^{(M)} \sim 3.3 \times 10^{-9} \quad , \\ \frac{\mu^{(\nu)}}{\alpha^{(\nu)}} \lambda^{(M)} &\sim 3.8 \times 10^{-9} \quad , \quad \alpha^{(\nu)} \mu^{(\nu)} \sim 2.4 \times 10^{-2} \text{ eV}^2 \quad , \\ \mu^{(\nu)2} \lambda^{(M)} &\sim 9.2 \times 10^{-11} \text{ eV}^2 \quad , \quad \frac{\alpha^{(\nu)2}}{\lambda^{(M)}} \sim 6.3 \times 10^6 \text{ eV}^2 \quad . \end{aligned} \quad (39)$$

Here, the constant  $\xi$  still may play the role of a free parameter (determining  $\lambda^{(M)}$ ). If  $\xi = O(10^{-1})$ , then  $\lambda^{(M)} = O(10^{-5})$ ,  $m_{\nu_1}/|m_{\nu_2}| = O(10^{-4})$ ,  $\mu^{(\nu)}/\alpha^{(\nu)} = O(10^{-4})$ ,  $\mu^{(\nu)} = O(10^{-3} \text{ eV})$ ,  $\alpha^{(\nu)} = O(10 \text{ eV})$ , and hence

$$m_{\nu_1} = O(10^{-3} \text{ eV}) \quad , \quad |m_{\nu_2}| = O(1 \text{ eV}) \quad , \quad m_{\nu_3} = O(1 \text{ eV}) \quad (40)$$

with  $m_{\nu_3}^2 - m_{\nu_2}^2 \sim 0.5 \text{ eV}^2$ . Then, in Eq. (35) we can put approximately

$$\frac{1}{2} \leftrightarrow \sin^2 \left( 1.27 \frac{\Delta m_{\text{atm}}^2 L_{\text{atm}}}{E_{\text{atm}}} \right) \simeq 1 - U/D \sim 1 - 0.54_{-0.05}^{+0.06} \quad (41)$$

in a reasonable consistency with the Super–Kamiokande estimate [4]. Here,  $(U - D)/(U + D)$  is the up–down assymetry for  $\nu_\mu$ 's, estimated as  $-0.296 \pm 0.048 \pm 0.01$ .

In this way, therefore, all three neutrino effects can be explained by pseudo–Dirac neutrino oscillations. Note that solar  $\nu_e^{(a)}$ 's and atmospheric  $\nu_\mu^{(a)}$ 's oscillate dominantly into  $\nu_e^{(s)}$ 's and  $\nu_\tau^{(a)}$ 's, respectively, as in the previous case when the LSND effect was absent.

The recently improved upper bound on the effective mass  $\langle m_{\nu_e} \rangle$  of the Majorana  $\nu_e^{(a)}$  neutrino extracted from neutrinoless double  $\beta$  decay experiments is 0.2 eV [6]. In our pseudo–Dirac case, this mass is given by the formula (if  $V_{i\alpha} \simeq U_{\alpha i}^{(\nu)*}$ ):

$$\begin{aligned} \langle m_{\nu_e} \rangle &= \left| \sum_i U_{ei}^{(\nu)2} m_{\nu_i} \frac{1}{2} (\lambda^I + \lambda^{II}) \right| = \left| \sum_i U_{ei}^{(\nu)2} m_{\nu_i} \lambda^{(M)} \right| \\ &= \frac{1}{49 \cdot 29} \left( 3 \cdot \frac{48}{49} \xi + \chi \right) \alpha^{(\nu)} \lambda^{(M)} = \frac{\xi \lambda^{(M)}}{473.96} \alpha^{(\nu)}, \end{aligned} \quad (42)$$

as  $\varphi^{(\nu)} = 0$  in Eq. (18) (here,  $U_{\alpha i} = U_{\alpha i}^*$ ) and  $\lambda^{I,II} = \mp 1 + \lambda^{(M)}$ , while

$$\nu_\alpha^{(a)} = \sum_i U_{\alpha i}^{(\nu)} \frac{1}{\sqrt{2}} (\nu_i^I + \nu_i^{II}). \quad (43)$$

Thus, in the option excluding or accepting LSND effect we estimate from Eq. (30) or (39) that

$$\langle m_{\nu_e} \rangle \sim \begin{cases} 5.4 \times 10^{-7} \alpha^{(\nu)} \sim O(10^{-6} \text{ eV}) \\ 2.4 \times 10^{-9} \alpha^{(\nu)} \sim O(10^{-8} \text{ eV}) \end{cases}, \quad (44)$$

respectively. Thus, in this pseudo–Dirac case, the  $0\nu\beta\beta$  decay violating the lepton number conservation is negligible. Note that  $\langle m_{\nu_e} \rangle \ll m_{\nu_1} \ll |m_{\nu_2}| < m_{\nu_3}$  in both options. Here, the neutrino masses are

$$m_{\nu_i}^{I,II} = m_{\nu_i} \lambda^{I,II} = m_{\nu_i} (\mp 1 + \lambda^{(M)}) \simeq \mp m_{\nu_i}. \quad (45)$$

Since for relativistic particles only masses squared are relevant, the "phenomenological" neutrino masses are equal to  $|m_{\nu_i}^{I,II}| \simeq |m_{\nu_i}|$  *i.e.*,  $\simeq m_{\nu_1}, |m_{\nu_2}|, m_{\nu_3}$ .

Finally, let us turn back to the option, where there is no LSND effect. In this case, the natural possibility seems to be a (nearly) diagonal form of neutrino family mass matrix  $M^{(\nu)} \simeq (\delta_{\alpha\beta} m_{\nu_\alpha})$  and so, unit neutrino diagonalizing matrix  $U^{(\nu)} \simeq (\delta_{\alpha i})$ . Then, if  $U^{(e)} \simeq (\delta_{\alpha\beta})$  *i.e.*,  $V \simeq (\delta_{i\alpha})$ , Eqs. (14) hold, giving

$$\begin{aligned} P(\nu_e^{(a)} \rightarrow \nu_e^{(a)}) &\simeq 1 - P(\nu_e^{(a)} \rightarrow \nu_e^{(s)}) \simeq 1 - \sin^2 \left( 1.27 \frac{4m_{\nu_e}^2 \lambda^{(M)} L}{E} \right), \\ P(\nu_\mu^{(a)} \rightarrow \nu_\mu^{(a)}) &\simeq 1 - P(\nu_\mu^{(a)} \rightarrow \nu_\mu^{(s)}) \simeq 1 - \sin^2 \left( 1.27 \frac{4m_{\nu_\mu}^2 \lambda^{(M)} L}{E} \right). \end{aligned} \quad (46)$$

Here,  $m_{\nu_i} = m_{\nu_\alpha}$  are neutrino family masses.

Comparing Eqs. (46) with experimental estimates for solar  $\nu_e$ 's [3] (using the global vacuum fit) and atmospheric  $\nu_\mu$ 's [4], we have Eq. (27) (with  $m_{\nu_i} = m_{\nu_e}$ ) and the relation

$$1 \leftrightarrow \sin^2 2\theta_{\text{atm}} \sim 1, \quad 4m_{\nu_\mu}^2 \lambda^{(M)} \leftrightarrow \Delta m_{\text{atm}}^2 \sim 2.2 \times 10^{-3} \text{ eV}^2, \quad (47)$$

respectively. Hence,

$$\frac{m_{\nu_e}^2}{m_{\nu_\mu}^2} \leftrightarrow \frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{atm}}^2} \sim 3.0 \times 10^{-8}. \quad (48)$$

Under the conjecture that  $M^{(\nu)}$  has the form (15) with  $\mu^{(e)} \rightarrow \mu^{(\nu)}$ ,  $\alpha^{(e)} \rightarrow \alpha^{(\nu)} = 0$ ,  $\varepsilon^{(e)} \rightarrow \varepsilon^{(\nu)} \simeq 0$ , we get

$$\frac{m_{\nu_e}}{m_{\nu_\mu}} = \frac{9\varepsilon^{(\nu)}}{4 \cdot 80} \leftrightarrow \left( \frac{\Delta m_{\text{sol}}^2}{\Delta m_{\text{atm}}^2} \right)^{1/2} \sim 1.7 \times 10^{-4}. \quad (49)$$

Then,

$$\varepsilon^{(\nu)} \sim 6.1 \times 10^{-3} \quad (50)$$

and

$$\begin{aligned} m_{\nu_e} &= \frac{\varepsilon^{(\nu)}}{29} \mu^{(\nu)} \sim 2.1 \times 10^{-4} \mu^{(\nu)}, \\ m_{\nu_\mu} &= \frac{4 \cdot 80}{9 \cdot 29} \mu^{(\nu)} = 1.2261 \mu^{(\nu)}, \quad m_{\nu_\tau} = \frac{24 \cdot 624}{25 \cdot 29} \mu^{(\nu)} = 20.657 \mu^{(\nu)} = 16.848 m_{\nu_\mu}. \end{aligned} \quad (51)$$

Here, the neutrino masses are  $m_{\nu_\alpha}^{I,II} = m_{\nu_\alpha} \lambda^{I,II} = m_{\nu_\alpha} (\mp 1 + \lambda^{(M)}) \simeq \mp m_{\nu_\alpha}$ , so that  $|m_{\nu_\alpha}^{I,II}| \simeq m_{\nu_e}, m_{\nu_\mu}, m_{\nu_\tau}$ , where  $m_{\nu_e} : m_{\nu_\mu} : m_{\nu_\tau} \sim 1.7 \times 10^{-4} : 1 : 16.8$ . From Eqs. (47) and (51) we infer that

$$\mu^{(\nu)^2} \lambda^{(M)} \sim 3.7 \times 10^{-4} \text{ eV}^2. \quad (52)$$

In this way, both neutrino deficits can be explained by oscillations of unmixed pseudo-Dirac neutrinos ( $U_{\alpha i}^{(\nu)} \simeq \delta_{\alpha i}$ ). Note, however, that now both solar  $\nu_e^{(a)}$ 's and atmospheric  $\nu_\mu^{(a)}$ 's oscillate dominantly into Majorana sterile neutrinos:  $\nu_e^{(s)}$ 's and  $\nu_\mu^{(s)}$ 's, respectively (in contrast to the previous mixed pseudo-Dirac  $\nu_e^{(a)}$  and  $\nu_\mu^{(a)}$  neutrinos of which the latter oscillated dominantly into  $\nu_\tau^{(a)}$ 's). The experimental evidence for  $\nu_\mu \rightarrow \nu_\tau$  oscillations and/or for the LSND effect would be, of course, crucial in the process of understanding the mechanism of neutrino oscillations.

In the present case, the effective mass  $\langle m_{\nu_e} \rangle$  of the Majorana  $\nu_e^{(a)}$  neutrino is given as

$$\langle m_{\nu_e} \rangle \simeq m_{\nu_e} \frac{1}{2} (\lambda^I + \lambda^{II}) = m_{\nu_e} \lambda^{(M)}, \quad (53)$$

since  $U_{\alpha i}^{(\nu)} \simeq \delta_{\alpha i}$ . Thus, the  $O\nu\beta\beta$  decay upper bound  $\langle m_{\nu_e} \rangle \leq 0.2 \text{ eV}$  is certainly satisfied because of  $\lambda^{(M)} \ll 1$  (and  $m_{\nu_e} \leq \text{a few eV}$ ).

If it turned out that both solar  $\nu_e$ 's and atmospheric  $\nu_\mu$ 's oscillated into sterile neutrinos, it would not be easy to recognize whether, as discussed above, the latter should be Majorana sterile counterparts of Majorana active  $\nu_e$ 's and  $\nu_\mu$ 's, or rather, two extra Dirac sterile neutrinos [7].

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